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Regulations Concerning the Rights and Duties of Recipients of SNIS Subsidies

Call 2023

Swiss Network for International Studies (SNIS)

Réseau suisse pour les études internationales (RéSEI)

Schweizerische Forschungsgemeinschaft für Internationale Studien (SFIS)



General provisions

Article 1 ***Legal effects of an award***

1. Following the total or partial acceptance of an application, the applicants become recipients of SNIS subsidies. The project coordinator becomes the designated official recipient. She or he represents the other recipients of the research team in their dealings with the SNIS.
2. The projects must be supervised by an institution of higher education based in Switzerland and funds must be managed by the accounting department of the before-mentioned institution.
3. The recipients must use the subsidy granted in compliance with the conditions set forth in the award letter and must respect the provisions of these regulations and of those stipulated in the document entitled "Call for Projects" issued by the SNIS, as well as all other provisions that are applicable to the subsidy. Payments for salaries, travel expenses and other allocations shall be handled according to the rules in force at the institution of higher education where the funds are managed.
4. The recipients must carry out the research projects with due care and according to scientific standards and ethics.
5. Each partner institution must sign a 'Memorandum of Understanding', acknowledging that they accept the conditions mentioned in paragraph 3 above, agree on the use of the subsidy and guarantee participation in the overall cost of the project in accordance with the budget submitted to the SNIS.

Article 2 ***Information about the subsidized research projects***

The SNIS shall be entitled to enter, or to arrange for the entry of, the information about the research projects it supports (information pertaining to projects and persons, including the use of photos) into its website, databases, reviews or other publications accessible to the public. After the decision to award the subsidy, the recipient of the subsidy must provide the SNIS with a summary of the project in electronic form, in English. The SNIS shall be entitled to display this document on its website, and to use it, in whole or in part, for any purpose it deems useful.

Article 3 ***Release of the subsidies***

1. The release of the awarded subsidies must be requested within six months of the date of the letter of award. The subsidies shall be released as soon as the



prerequisites for said release are fulfilled as set forth in the award letter.

2. Upon request and for well-argued cause, the SNIS shall be entitled to extend the deadline for the request for release of the subsidy by a maximum of six months.

Article 4 ***Lapse of the subsidy and modification of the awards***

1. Should the request for release set forth in Article 3 not have been made in a timely manner, or should no extension have been granted, the subsidy shall lapse.
2. Should the conditions for participation fail to be fulfilled following the award of a subsidy or should one or more of the conditions determining the award of the subsidy change significantly, the SNIS reserves the right to revoke the award or to modify it in accordance with the new conditions. The SNIS shall give the parties an opportunity to be heard before doing so and shall issue the revocation or modification in a written decision.

Article 5 ***Management of the subsidies***

1. The recipients must arrange for management of the awarded subsidies by the accounting service of the institution leading the project.
2. The subsidy must be managed by means of a postal or bank account specific to the project and separate from the remaining assets of the recipients or using a main account of the institution with a specific reference to the project (heading, number of the fund, etc.). In all cases, the service that manages the funds shall not use SNIS subsidies to cover overhead costs, or VAT.

Article 6 ***Employment of associates***

1. The recipients must ensure that all scientific or technical staff whose salaries are partially or completely funded with SNIS subsidies have signed a written work contract, and that said work contract is in line with the minimal requirements of the standard contract of the partner institution where the staff is employed.
2. The work contract is concluded with the employer that employs the recipient.

Article 7 ***Duty of information***

The recipients are required to immediately inform the SNIS in writing of all facts that may modify or affect the conditions pursuant to which the subsidy is granted. This applies in particular to changes in relation to the budget,



employed staff, available research infrastructure and to modifications of the research plan.

Article 8 **Reports and on-site monitoring**

The recipients must provide the SNIS with intermediate and final financial statements and reports in accordance with the document entitled « Rules and procedure for submitting reports and working papers ». They must provide detailed accounting statements, detailing the use of the subsidies and the co-financing realized. The recipients must also report on research methods, the results obtained from the research, and further use of said results. On-site monitoring may include a visit to the project, or members of the team coming to SNIS headquarters to present the progress of their work, challenges and perspectives. Arrangements shall be done in partnership with the research teams.

Article 9 **Balance at the expiry date of the subsidies**

1. Any negative balance at the end of the research projects supported by the SNIS must be settled by the recipients. Positive balances must be returned to the SNIS. If matching funds or co-funding have been made available for the project, expenses linked the project must be divided between the funding institutions/partners according to the planned budget. If no specific breakdown has been previously agreed upon, all expenses resulting from the project must be divided between all funding institutions/partners proportionally to their respective contribution. In case of credit balance in the final accounts, the amount proportionate to the SNIS contribution must be reimbursed to the SNIS.
2. The SNIS may set forth the details for settlement of the balance in *ad hoc* directives.

Article 10 **Rights in respect of the results of the research and valorization of results**

1. The rights in respect of the results of the research which were obtained within the framework of the projects subsidized by the SNIS shall belong to the recipients or to their employers. The recipients must settle the questions in relation to the granting and entitlement of said rights with their employers prior to starting subsidized research and submit the information to the SNIS for approval.
2. The SNIS will not claim for reimbursement of its subsidies or any participation in the profits in the event that the research results obtained through its support are used for commercial purposes. The recipients, however, are required to



inform the SNIS immediately and in writing, not only during but also following the completion of the research projects, about any patents or other rights obtained, as well as about the use of said rights for commercial purposes.

Article 11 **Publication of the research results**

1. The SNIS requires open access to the research results from the recipients. The recipients must take appropriate steps to render the research results ‘open access’ as soon as possible after the completion of the research project, mentioning SNIS support, unless confidentiality restrictions temporarily prevent their publication, for instance, in the event of patent application or exclusive copyrights granted to third parties as part of a commercial publication of the research results. The recipients must send a request for authorization to the SNIS to postpone the obligation to make the research results ‘open access’. The contracts aiming at temporarily concealing the research results and/or at granting temporary exclusive rights to their publication must be sent to the SNIS for approval. The SNIS financial support will have to be clearly indicated on every publication related to this project by the SNIS logo in addition to the sentence: *This [project] [conference] [training course] [etc.] is (co)financed by the Swiss Network for International Studies*. Instructions for the use of the SNIS logo can be found in the document entitled: “Guidelines to using the SNIS logo”.
2. The recipients must send to the SNIS documentary evidence of the publications coming from works supported by the SNIS (an electronic copy or, if not possible a hard copy). The recipients must also provide the SNIS with further information regarding the practical use of the research results and their applicability.
3. In order to guarantee open access to the research results while allowing the recipients to reserve the exclusive rights of certain specific results for publications (book, scientific magazine, etc.), the recipients must send a working paper in English to the SNIS at the end of the research. The recipients will have to make the necessary arrangements with all interested third parties (in particular with editors) so that this document can be made available to the public on the SNIS website in accordance with the conditions and procedure defined in the first paragraph of the present provision.
4. The SNIS may provide complementary guidelines regarding the form and the content of the publication of the research results.
5. The recipients of SNIS subsidies facilitate access to data collected during their research work, for instance through scientific archives either online and/or



offline, to enable research from third parties. Recipients also need to render the proceeds of closing events public. The SNIS is interested in realizing audio and video documents of the projects, as material for future projects and funding opportunities.

Article 12 ***Misuse and infractions***

Should the recipients make use of a SNIS subsidy in a wrongful manner, or should they breach these regulations or other provisions applicable to the subsidy, notwithstanding a written warning to that effect, the SNIS shall be entitled to demand the restitution of the funds remitted by the SNIS or to refuse any further payment. Persons responsible for major infractions may be barred by the SNIS from filing an application for a period of up to five years.

Interim and final provisions

Article 13 ***Implementation provisions***

The SNIS shall issue, in compliance with these regulations, the necessary implementation provisions, as well as any and all other regulations or directives cited in the present document.

Article 14 ***Entry into force***

These regulations shall enter into force on the day after their approval by the SNIS Governing Committee of the SNIS. They shall be immediately applicable to the processing of pending applications.

Any points not covered by these regulations shall be handled according to the provisions set forth the Swiss National Science Foundation (FNS).

Geneva, 26 June 2008 (amended 7 September 2009, 3 March 2011, 5 October 2011, 15 September 2012, 6 August 2015, 7 April 2017, 26 March 2021, 17 October 2022).